

Streptococcus pneumoniae Serotype Distribution and Antimicrobial Susceptibility Prior to and Post USA Introduction of 13-valent Pneumococcal Conjugate Vaccine

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Amended Abstract

Background: 13-valent conjugate vaccine (PCV13) was introduced in the USA in 2010. We assessed the distribution and susceptibility of *Streptococcus pneumoniae* serotypes in the USA (2011-2012) and compared results with those from a study performed in 2008.

Methods: 1,190 *S. pneumoniae* (07/11 - 06/12) were included. Identification was performed by biochemical algorithms. Serotyping was performed by *cpsB* sequencing and multiplex PCR. Susceptibility testing applied CLSI methods (M07-A9) and interpretations (M100-S23).

Results: The prevalence of serotype 19A decreased (4.1%; P=0.016) between study years, as did 3, 7F/7A and 16F (1.8, 4.4 and 1.5%, respectively). Other serogroups/types, such as 23A (+2.7%; P=0.011), 15B/C (+2.4%; P=0.015), 9N/9L (+1.5%; P=0.049) and 31 (+1.9%; P=0.002) had occurrence rates higher than in 2008. Ceftriaxone MIC₅₀, MIC₉₀ and MIC₁₀₀ values for *S. pneumoniae* were ≤0.015, 0.12 and 0.5 µg/mL (100% susceptible), respectively. Vancomycin (MIC_{50/90}, 0.25/0.5 µg/mL; 100% susceptible), linezolid (MIC_{50/90}, 1/1 µg/mL; 100% susceptible) and levofloxacin (MIC_{50/90}, 1/1 µg/mL; 99.3% susceptible) were also active. Ceftriaxone had MIC₉₀ values of ≤0.12 µg/mL against all serotypes, except 19A (MIC₉₀, 0.25 µg/mL). 19A strains were least susceptible overall, with decreased susceptibility (8.8 - 30.2%) to all agents between periods, except ceftriaxone, levofloxacin, vancomycin and linezolid (≥98.2% susceptible). Serotype 3 had decreased susceptibility to erythromycin (86.3% susceptible) and clindamycin (87.4% susceptible) compared with 2008 rates (92.9 and 94.3%, respectively), as did 35B and 15B/C to erythromycin. Serotype 23B had increased susceptibility to erythromycin. 19F had increased susceptibility rates (17.3 - 48.0%) to all drugs, except ceftriaxone, levofloxacin, vancomycin and linezolid, which were ≥96.6% susceptible in both years.

Conclusions: 19A strains decreased in prevalence, but displayed increased resistance rates. 19F occurrence remained stable, but susceptible rates increased. Prevalence of non-PCV13 serotypes appear to be increasing. Ceftriaxone had high potency against *S. pneumoniae*, regardless of serotype.

Introduction

Studies have demonstrated that PCV7, introduced in 2000, is efficacious for the prevention of non-invasive and invasive pneumococcal disease (IPD) and carriage. PCV7 has been highly successful not only in decreasing the rates of pneumococcal diseases and nasopharyngeal colonizers, but also in decreasing the rates of non-susceptible PCV7 serotypes among children under two years old by 2003. However, the vaccine use modified the epidemiology of pneumococcal disease and colonization, and further investigations documented an increase in the rates of carriage and infections caused by non-PCV7 serotypes. This trend has been mostly caused by serotypes 19A and 6A in the USA; moreover, the incidence of antibiotic-nonsusceptible IPD in children has started to increase. A new 13-valent pneumococcal conjugate vaccine (PCV13), which includes serotypes 19A and 6A among others, was licensed in the USA in 2010. However, the impact of PCV13 on the pneumococcal disease, carriage and antimicrobial susceptibility profile remain to be documented.

Penicillin is the drug of choice for treatment of penicillin-susceptible pneumococcal disease. Despite the decrease of penicillin-nonsusceptible isolates in 2003 brought about by PCV7, these rates have increased due to emergence of nonsusceptibility among the replacement clones, achieving 15.9% of the USA isolates submitted as part of the SENTRY Antimicrobial Surveillance Program in 2009. As intermediate and resistant antimicrobial profiles complicate management of pneumococcal disease, additional therapeutic options would become a priority for patient care.

This study evaluated the serotype distribution and susceptibility profiles of *Streptococcus pneumoniae* collected from hospitalized patients in the USA (all age groups) during the respiratory disease season of 2011-2012 (i.e. post the introduction of PCV13) and compared results with those from a similar study that included isolates from 2008. In addition, the *in vitro* activity of ceftriaxone, the active metabolite of the parenteral cephalosporin, ceftriaxone fosamil, was assessed against both collections.

Methods

Bacterial strains. A total of 1,190 *S. pneumoniae* clinical isolates received as part of the "AWARE" (Assessing Worldwide Antimicrobial Resistance Evaluation) Program, component of SENTRY Program, from July 2011 through June 2012 were included in this investigation. These isolates were recovered from hospitalized patients in 63 medical centers located in the nine USA Census regions. Table 1 describes the distribution of Census region and specimen sources, which originated from blood or lower respiratory tract cultures. The number of isolates stratified by subject's age group is also listed in Table 1. Bacterial identification was performed by the participating microbiology laboratory and confirmed by the central laboratory (JMI Laboratories, North Liberty, Iowa). Confirmation of bacterial identification was performed by colony morphology, biochemical algorithms and Vitek[®]2, as needed. When the bacterial identification was questionable using phenotypic methods or an untypeable serotyping result was obtained by the applied methodology, isolates were subjected to a singleplex PCR assay for further identification.

The results generated from the 2011-2012 collection were compared with those obtained from USA isolates submitted as part of the 2008 SENTRY Program, which were previously published by Jacobs et al. (2010). These isolates originated from several specimen sources and those recovered from specimen types other than blood or lower respiratory tract specimens were withdrawn from this analysis to achieve greater consistency between databases. Statistical analyses related to serotype distribution and susceptibility rates were reassessed on a total of 694 from the 2008 year sample (Table 1).

Antimicrobial susceptibility profile. Isolates included in both datasets were tested for susceptibility by both microdilution methods, according to the recommendations of the Clinical and Laboratory Standards Institute (CLSI). Minimum inhibitory concentration (MIC) results for several anti-gram-positive agents were obtained using panels manufactured by Thermo Fisher Scientific (formerly TREK Diagnostics Systems/Sensititre; Cleveland, Ohio). Validation of the MIC values was performed by concurrent testing of CLSI-recommended (M100-S23, 2013) quality control (QC) strain *S. pneumoniae* American Type Culture Collection (ATCC) 49619. In addition, the inoculum density was monitored by colony counts to ensure an adequate number of cells for each testing event. MIC interpretations were based on the CLSI M100-S23 (2013) and European Committee on Antimicrobial Susceptibility Testing (EUCAST; 2013) criteria.

Serotyping determination. Isolates from the AWARE Program (2011-2012) were subjected to PCR assays for amplification of the *cpsB* gene as previously described by Leung et al. (2012). Amplicons were sequenced on both strands and the nucleotide sequences were analyzed using the Lasergene software package (DNASTAR, Madison, Wisconsin). Sequences were compared with others available via Pubmed (<http://www.ncbi.nlm.nih.gov/blast/>). Due to sequence homology among certain serotypes, those showing nucleotide sequence similarity greater than 99% were grouped (e.g. 9V/9A, 7F/7A, 11A/11D, 15A/15F, 22F/22A, 15B/15C). All isolates determined to be serogroup 6 by sequencing analysis were subjected to multiplex PCR assays for confirmation and discrimination purposes (between 6A/6B and 6C/6D). Isolates from the 2008 dataset were serotyped previously using the classical Quellung reactions.

Results

Overall, the prevalence of PCV7-associated serotypes remained low between the 2011-2012 study and the 2008 study, and the most common PCV7 types, 19F and 6A/6B isolates, comprised only 1.7 - 2.9% and 2.6 - 2.7% of all isolates included, respectively (Figure 1)

Serotypes 19A and 3 remained the first and second most prevalent types observed in 2008 and 2011-2012; but the occurrence rates for both decreased during the latter period. In addition, the occurrence of isolates associated with serogroup 7F/7A decreased significantly from 6.8% in 2008 to 2.4% in the second period (P<0.001; Figure 1)

Most non-PCV13 serogroups/types demonstrated prevalence rates in 2011-2012 higher than 2008, except for 15A/15F, 16F, 33F/33A/37 and 10. However, among those serotypes exhibiting higher occurrence rates in the second period, only 23A, 15B/15C, 9N/9L and 31 were statistically significant (Figure 1)

Ceftriaxone had very similar MIC_{50/90} values when tested against isolates collected during the 2008 (MIC_{50/90}, ≤0.008/0.12 µg/mL) and 2011-2012 (MIC_{50/90}, ≤0.015/0.12 µg/mL) periods (Tables 2 and 3). Moreover, ceftriaxone showed a bi-modal pattern with modal MIC results at ≤0.008/≤0.015 and 0.12 µg/mL when tested against both populations of *S. pneumoniae* isolates

Most serogroups/types (616/1180; 52.2%) exhibited ceftriaxone MIC₉₀ values of ≤0.03 µg/mL, while the serogroups 6, 15, 19 and serotypes 23A and 35B had higher MIC₉₀ results (0.06 - 0.25 µg/mL; Table 2). Serotypes 19A and 35B were mostly responsible for the ceftriaxone modal MIC at 0.12 µg/mL

Ceftriaxone also exhibited a bi-modal MIC distribution, with a modal MIC result at ≤0.06 and a second mode at the CLSI susceptible breakpoint (i.e. 1 µg/mL; Table 2). A total of 103 (8.7%) isolates were non-susceptible to ceftriaxone and the majority of those (81/103; 78.6%) were associated to serotype 19A (Table 2)

A greater proportion of 19A strains (49.4%) had ceftriaxone MIC results of ≥2 µg/mL than other serotypes, while the majority of 35B isolates (80.4%) had MIC values at the CLSI breakpoint for susceptibility (i.e. 1 µg/mL) or higher (Table 2)

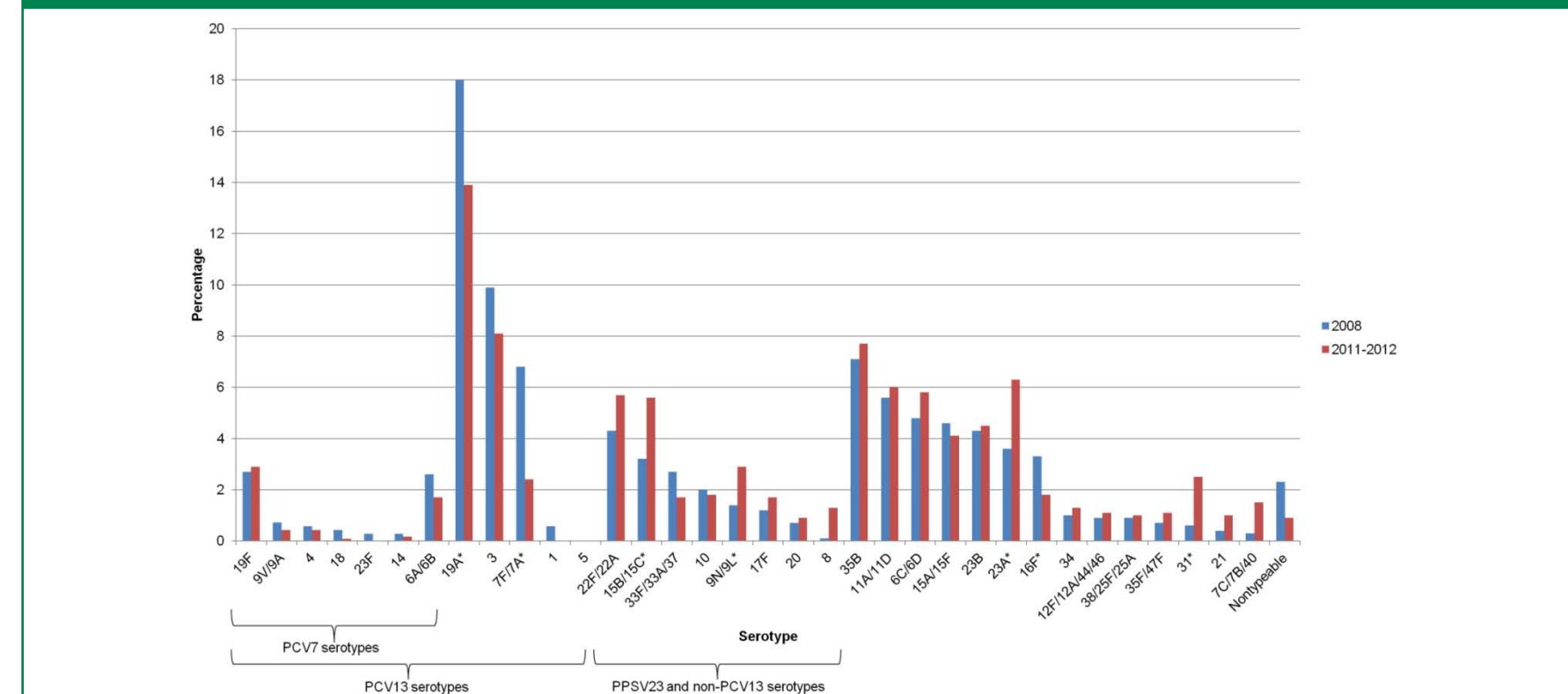
Overall, no major differences in susceptibility rates (>10.0%) were observed for the antimicrobial agents tested against *S. pneumoniae* between study time periods (Tables 3 and 4). Ceftriaxone, levofloxacin, linezolid and vancomycin demonstrated antimicrobial coverage (i.e. susceptibility rates >90.0%) against both populations

Isolates associated with serotype 19A demonstrated susceptibility rates greatly reduced for several agents, while serotype 19F isolates from 2011-2012 demonstrated susceptibility rates higher than those noted for 2008 (Table 4)

Serogroups/types 6C/6D and 23B had increased erythromycin susceptibility rates between periods (increase of 11.6% and 12.0% respectively), while serogroups/types 33F/33A/37, 15B/15C and 35B showed decreased susceptibility rates of 27.1, 31.4 and 31.7%, respectively (Table 4)

Decreased TMP/SMX susceptibility rates (ranging from 18.4% to 35.3%) between sampling years were observed against serogroups/types 15B/15C, 23B, 16F, 6A/6B and 33F/33A/37 (Table 4). Serogroup 6C/6D displayed increased rates for TMP/SMX from 21.2% in 2008 to 34.8% in 2011-2012.

Figure 1: Distribution of serogroups/types among *S. pneumoniae* clinical isolates collected from patients in all age groups included in the 2008 and 2011-2012 databases



* indicates those serotypes where P value calculated by χ^2 test comparing the rates between sampling periods were lower than 0.05. Odds Ratio and respective 95% Confidence Interval for comparisons of serotype rates between sampling periods are as follows: 19A, OR=1.4 (1.05-1.75); 23A, OR=0.6 (0.35-0.88); 15B/15C, OR=0.5 (0.33-0.90); 9N/9L, OR=0.5 (0.24-1.01); 31, OR=0.2 (0.08-0.64); 7F/7A, OR=2.9 (1.81-4.66); and 16F, OR=1.8 (1.1-3.29). Serotypes included in the Pneumococcal polysaccharide vaccine (PPSV23) that are not in PCV13 found in this study are: 6, 9N, 10A, 15B, 17F, 20, 22F and 33F.

Table 1. Source of *S. pneumoniae* clinical isolates included in the 2008 and 2011-2012 databases

Parameter Specimen type	Number of isolates (%) by dataset	
	2008	2011-2012
Sputum	330 (47.6)	562 (47.2)
Bronchoalveolar lavage	76 (11.0)	208 (17.5)
Blood culture	184 (26.5)	165 (13.9)
Tracheal aspirate	8 (1.2)	136 (11.4)
Lower Respiratory Tract	17 (2.4)	56 (4.7)
Endotracheal tube	1 (0.1)	23 (1.9)
Invasive pulmonary	61 (8.8)	22 (1.8)
Pleural fluid	17 (2.4)	18 (1.5)
Age group		
≤18	144 (20.7)	182 (15.3)
19-49	152 (21.9)	310 (26.1)
≥50	367 (52.9)	698 (58.7)
Unknown	31 (4.5)	0 (0.0)
Census Region		
1	73 (10.5)	136 (11.4)
2	89 (12.8)	106 (8.9)
3	129 (18.6)	189 (15.9)
4	120 (17.3)	118 (9.9)
5	78 (11.2)	132 (11.1)
6	81 (11.7)	153 (12.9)
7	56 (8.1)	108 (9.1)
8	21 (3.0)	80 (6.7)
9	47 (6.8)	168 (14.1)
Total	694	1,190

Table 2. MIC distribution and antimicrobial activity of ceftriaxone and ceftriaxone tested against the specific serogroups/types detected in the 2011-2012 collection

Serotype (no. tested) ^a	MIC (µg/mL)		Number (cumulative %) of isolates inhibited at MIC (µg/mL) ^b									
	50%	90%	≤0.015	0.03	0.06	0.12	0.25	0.5	1	2	>2	
Ceftriaxone (1,180)	≤0.015	0.12	723 (61.3)	98 (8.3)	112 (9.5)	181 (15.2)	58 (4.9)	8 (0.7)				
15A/15F (50)	≤0.015	0.06	29 (58.0)	7 (7.2)	0 (0.0)							
15B/15C (63)	≤0.015	0.06	36 (57.1)	12 (76.2)	15 (100.0)							
23A (75)	0.03	0.06	29 (38.7)	32 (81.3)	13 (98.7)	1 (100.0)						
6A/6B (20)	0.06	0.12	6 (30.0)	1 (35.0)	10 (85.0)	3 (100.0)						
6C/6D (69)	0.06	0.12	24 (34.8)	3 (39.1)	34 (88.4)	8 (100.0)						
19F (35)	≤0.015	0.12	27 (77.1)	0 (77.1)	0 (77.1)	6 (94.3)	1 (97.1)	1 (100.0)				
35B (91)	0.12	0.12	7 (7.7)	1 (8.8)	5 (14.3)	77 (98.9)	1 (100.0)					
19A (164)	0.12	0.25	22 (13.4)	4 (15.9)	12 (23.2)	66 (63.4)	53 (95.7)	7 (100.0)				
Other (616)	≤0.015	0.03	547 (88.8)	38 (95.0)	13 (97.1)	15 (99.5)	3 (100.0)					
Ceftriaxone (1,187)	≤0.06	1	-	-	688 (58.0)	118 (67.9)	67 (73.5)	65 (79.0)	146 (91.3)	88 (98.7)	15 (100.0)	
6A/6B (20)	0.25	2	-	-	5 (25.0)	2 (35.0)	6 (80.0)	1 (85.0)	3 (100.0)			
6C/6D (69)	0.25	1	-	-	22 (31.9)	3 (36.2)	10 (50.7)	27 (89.9)	6 (98.6)	1 (100.0)		
9V/9A (5)	1	-	-	-	0 (0.0)	0 (0.0)	0 (0.0)	2 (40.0)	2 (100.0)			
35B (92)	1	1	-	-	7 (7.6)	0 (7.6)	0 (7.6)	11 (19.6)	70 (95.7)	4 (100.0)		
19A (164)	1	2	-	-	22 (13.4)	6 (17.1)	6 (20.7)	2 (22.0)	47 (50.6)	70 (93.3)	11 (100.0)	
19F (35)	≤0.06	2	-	-	26 (74.3)	1 (77.1)	0 (77.1)	0 (77.1)	3 (85.7)	2 (100.0)		
Other (791)	≤0.06	0.12	-	-	606 (76.6)	106 (90.0)	37 (94.7)	17 (99.8)	18 (99.1)	6 (99.9)	1 (100.0)	

a. MIC values not available for all 1,190 strains included in the study.
b. Lowest concentration tested for ceftriaxone, 0.06 µg/mL.

Table 4. Antimicrobial susceptibility profiles of most common serogroups/types observed among the 2008 and 2011-2012 sampling years

Serotype (No. tested/%, this study) (% previous study)	CPT ^b MIC ₅₀	% susceptible per year ^a													
		PEN		CRO		A/C		ERY		CLI		LEV		TMP/SMX	
		2008	2012	2008	2012	2008	2012	2008	2012	2008	2012	2008	2012	2008	2012
19A (165/13.9) (18.0)	0.25	57.6	29.3	73.6	50.6	55.2	25.0	32.8	14.6	52.8	31.7	100.0	98.2	21.6	12.8
3 (96/8.1) (9.9)	0.03	98.6	100.0	98.6	100.0	98.6	100.0	92.9	86.3	94.3	87.4	100.0	100.0	98.6	97.9
35B (92/7.7) (7.1)	0.12	98.0	98.9	98.0	95.7	58.0	60.9	88.0	36.3	100.0	98.9	98.0	98.9	90.0	82.6
23A (75/6.3) (3.5)	0.06	100.0	100.0	100.0	98.7	100.0	98.7	90.0	74.7	80.0	94.0	100.0	98.7	88.0	77.3
11A/11D (71/6.0) (5.6)	≤0.015	100.0	98.6	97.4	98.6	97.4	98.6	71.8	73.2	94.9	98.6	100.0	100.0	89.7	80.3
6C/6D (69/5.8) (4.8)	0.12	100.0	98.6	100.0	98.6	100.0	100.0	33.3	44.9	93.9	95.7	100.0	100.0	21.2	34.8
22A/22F (68/5.7) (4.3)	≤0.015	100.0	98.5	100.0	98.5	100.0	100.0	86.7	86.8	100.0	98.5	100.0	98.5	96.7	92.6
15B/15C (67/5.6) (3.2)	0.06	100.0	100.0	100.0	100.0	100.0	100.0	72.7	41.3	95.5	96.8	100.0	100.0	77.3	57.6
23B (53/4.5) (4.3)	0.03	100.0	98.1	96.7	98.1	100.0	98.1	76.7	88.7	100.0	94.3	96.7	100.0	90.0	54.7
15A/15F (50/4.1) (4.6)	0.06	100.0	98.0	100.0	100.0	100.0	98.0	3.1	4.0	9.4	4.0	100.0	98.0	53.1	44.0
19F (35/2.9) (2.7)	0.12	47.4	85.7	68.4	85.7	47.4	82.9	26.3	71.4	36.8	82.9	94.7	100.0	26.3	74.3
9N/9L (34/2.9) (1.4)	≤0.015	100.0	100.0	100.0	100.0	100.0	100.0	100.0	90.7	97.1	100.0	100.0	100.0	90.0	94.1
31 (30/2.5) (0.6)	≤0.015	100.0	100.0	100.0	100.0	100.0	100.0	75.0	80.0	100.0	100.0	100.0	100.0	100.0	100.0
7F/7A (29/2.4) (6.8)	≤0.015	100.0	100.0	100.0	100.0	100.0	100.0	100.0	90.0	100.0	100.0	96.6	100.0	100.0	100.0
16F (22/1.8) (3.3)	≤0.015	100.0	100.0	100.0	95.0	100.0	95.5	95.7	86.4	95.7	96.4	100.0	100.0	95.7	77.3
10 (22/1.8) (2.0)	≤0.0														